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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 09/851,284            |
| Filing Date            | May 8, 2001           |
| First Named Inventor   | Sanja Durinovic-Johri |
| Group Art Unit         | TBA                   |
| Examiner Name          | TBA                   |
| Attorney Docket Number | 03493.85817           |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|--|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Thomas H. Jackson, Reg. No. 29,808

Signature

*Thomas H. Jackson*

Date

August 22, 2001

## CERTIFICATE OF MAILING

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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Sanja Durinovic-Johri et al

Serial No.: 09/851,284

Filed: May 8, 2001

For: METHOD FOR LOAD BALANCING IN  
ROUTERS OF A NETWORK USING  
OVERFLOW PATHS



)  
)  
) Group Art Unit: TBA  
)

) Examiner: TBA  
)

) Atty. Docket No.: 3493.85817  
) (IDS 1999-0647)  
)

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**INFORMATION DISCLOSURE STATEMENT**

**Technology Center 2600**

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

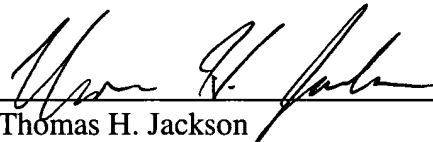
Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. A copy of the reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

**PATENT APPLICATION**  
**Serial No. 09/851,284**

The accompanying Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required. However, if a fee is deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 19-0733


Respectfully submitted,  
BANNER & WITCOFF, LTD.

By:   
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Dated: August 22, 2001

**PATENT APPLICATION**  
**Serial No. 09/851,284**

|   |  |  |          |                          |                        |          |                              |
|---|--|--|----------|--------------------------|------------------------|----------|------------------------------|
| USPTO Form 1449<br><br>U.S. Department of Commerce<br>Patent and Trademark Office<br><br><b>INFORMATION DISCLOSURE<br/>CITATION</b><br><br><div style="display: flex; align-items: center;">  <div>             Sheet 1 of 1           </div> </div> |  | Attorney Docket No.<br>3493.85817  |          | Serial No.<br>09/851,284 |                        |          |                              |
| Applicant(s): Sanja Durinovic-Johri et al.  |  |  |          |                          |                        |          |                              |
| Filing Date: May 8, 2001  |  |  |          |                          | Group:                 |          |                              |
| <b>U.S. PATENT DOCUMENTS</b>  |  |  |          |                          |                        |          |                              |
| Examiner Initial  |  | Patent No.   | Date     | Name                     | Class                  | Subclass | Filing Date (if appropriate) |
|   |  | 6,092,115  | 7/18/00  | Choudhury et al.         |                        |          |                              |
|   |  | 5,987,521  | 11/16/99 | Arrowood et al.          |                        |          |                              |
|   |  | 5,748,901  | 5/5/98   | Afek et al.              |                        |          |                              |
| <b>FOREIGN PATENT DOCUMENTS</b>   |  |  |          |                          |                        |          |                              |
| Examiner Initial  |  | Document No.   | Date     | Country                  | Class                  | Subclass | Translation                  |
|   |  |  |          |                          |                        |          |                              |
| <b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)</b>   |  |  |          |                          |                        |          |                              |
|   |  | W. Feng et al., "Blue: A New Class Of Active Queue Management Algorithms", Department of EECS, University of Michigan and Network Systems Department, IBM T.J. Watson Research Center, Pages 1-27  |          |                          |                        |          |                              |
|   |  | S. Floyd et al., "Random Early Detection Gateways for Congestion Avoidance", IEEE/ACM Transactions on Networking, August 1993, Pages 1-32  |          |                          |                        |          |                              |
|   |  | Managing Performance of Cisco Router Networks,<br><a href="http://www.cisco.com/warp/public/cc/cisco/mkt/enm/netsys/man4/tech/mgper_wp.htm">http://www.cisco.com/warp/public/cc/cisco/mkt/enm/netsys/man4/tech/mgper_wp.htm</a> , dated 11/16/99, 7 Pages      |          |                          |                        |          |                              |
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|   |  | Cisco NLSP Route Aggregation, <a href="http://www.cisco.com/warp/public/732/Novell/nlsp_wp.htm">http://www.cisco.com/warp/public/732/Novell/nlsp_wp.htm</a> , dated 11/16/99, 8 Pages  |          |                          |                        |          |                              |
|   |  | Cisco IOS (TM) Software Quality of Service Solutions, Cisco Systems, Inc., 5/98, Pages 1 to 28   |          |                          |                        |          |                              |
| <b>EXAMINER</b>   |  |  |          |                          | <b>DATE CONSIDERED</b> |          |                              |
| <small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</small>  |  |  |          |                          |                        |          |                              |
| <small>**Copies of references not provided at the time of this submission.</small>  |  |  |          |                          |                        |          |                              |

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